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system for energy efficient retrofitting of residential
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1 AN ENERGETIC ANALYSIS OF A MULTIFUNCTIONAL
2 FAÇADE SYSTEM FOR ENERGY EFFICIENT
3 RETROFITTING OF RESIDENTIAL BUILDINGS IN
4 COLD CLIMATES OF FINLAND AND RUSSIA

5
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13 **ABSTRACT**

14 Retrofitting of external walls and building facades of residential buildings is often
15 considered as the most energy efficient renovation measure. This paper presents a
16 multifunctional energy efficient façade system for multi-family apartment
17 building retrofitting, the “Meefs” system which is still under development in a
18 concept phase, and analyses its energetic features based on building typologies to
19 cold climate apartment buildings in Finland and in Russia.

20 Both in Finland and in Russia, the majorities of the apartment building stocks
21 were built after the II World War. Major capital repairs are needed in both
22 building stocks in the coming years. At the same time, energy efficiency of
23 buildings could be improved which would make them more sustainable. The
24 apartment building stocks in both countries consist of typical buildings of this
25 construction period. Therefore prefabricated facade products, such as the “Meefs”
26 system, could beneficially be adapted to retrofit them. In addition, as shown in
27 this paper, the Meefs system would provide considerable energy savings.

28 However, adapting the system to Russian buildings would require changes to
29 building energy systems as well.

30 KEYWORDS

31 energy renovation, façade renovation, residential buildings, cold climate

32 1. INTRODUCTION

33 In view of climate change, aging housing stocks and heavy energy consumption, it
34 is important to promote integrated retrofit of residential areas, and to understand
35 the importance of efficient modernisation, deployment of new technology and use
36 of renewable energy resources (Raslanas et al., 2011). Energy retrofit of buildings
37 represents an important sector for mobilizing investments to address carbon
38 mitigation of cities (Mastrucci et al., 2014). For developed countries, such as EU-
39 15, the technical greenhouse gas (GHG) reduction potential for the building stock
40 in 2020 ranges between 21-54% of the national baseline. For economies in
41 transition, such as Russia, this value ranges between 26 and 47% of the national
42 baseline, for the building stock in 2030 (Ürge-Vorsatz & Novikova, 2008). For
43 both markets, building envelop retrofits, including insulation, are estimated as
44 measures with the largest potential. The integration of new technologies for
45 renewable-based energy production, into building envelop retrofitting measures,
46 can significantly reduce GHG emissions.

47 Most national building regulations that mandate thermal insulation of building
48 envelopes were introduced after the 1970s following the energy crisis (Balaras et
49 al., 2005). In addition, compared to current regulations the first thermal insulation
50 requirements were quite moderate. So, the energy saving potential is the largest in
51 the oldest non-retrofitted buildings.

52 About 60% of Russia's total multi-family apartment buildings are in need of
53 extensive capital repair, rising to 93–95% in those apartment blocks with an
54 average age of less than 25 years (IFC & EBRD, 2012). The need for renovation
55 and modernization of housing properties in Finland mainly concerns apartment
56 buildings built in 1960s and 1970s (Lindtsedt et al., 2011). A major issue in
57 renovation projects is to minimize living interferences and the duration of
58 renovation works cost-effectively.

59 There is an urgent need for development of efficient and low cost (prefabricated)
60 solutions that are modular, customizable, prefabricated and industrially
61 marketable. These will allow systemic retrofitting that can easily be applied in
62 buildings and affordably maintained with minimum disturbances to end-users
63 (Meno et al., 2012). Industrialization does not necessarily imply the use of new
64 materials, but new forms of application or combination of materials, and
65 manufacturing construction components in facilities outside the place of the final
66 assembly.

67 This paper introduces a multifunctional energy efficient façade system for multi-
68 family apartment building retrofitting under development in a EC FP7 project
69 Meefs: Multifunctional Energy Efficient Facade System for Building Retrofitting
70 hereafter referred as the “Meefs” system. The Meefs system is still in the concept
71 phase. We analyse the energetic aspect of the system to energy renovations in cold
72 climate apartment buildings, classified by a building typology, and could it
73 improve energy efficiency in these buildings. The analyses are based on case
74 studies in two cold climate locations, namely Helsinki, Finland and Moscow,

75 Russia. We also estimate the potential use of the solution as a part of holistic
76 district renovations relevant in Russia.

77 2. LITERATURE REVIEW

78 There are many aspects relevant to the scope of this paper. These include the
79 climatic indicators used in energy calculations, methods to classify existing
80 apartment buildings stock, and potentials and solutions for improving its energy-
81 efficiency especially by means of façade retrofitting. This section will briefly
82 review relevant information, on these themes, available on literature, to provide
83 support and background for the further analysis.

84 The most widely used general climate classification is the Köppen-Geiger system
85 (Kottek et al., 2006). In this system, major areas of both Finland and Russia are
86 placed to the cold and snow climate (Peel et al., 2007; Kottek et al. 2006),
87 meaning that the climate in large areas of both countries is quite similar. The most
88 important indicator for the climate zones are heating degree days (HDDs)
89 describing the typical useful energy demand to heat buildings (Lechtenböhmer &
90 Schüring, 2011) even though there are country related differences in calculating
91 these values. In Russia, HDDs are not typically calculated. However, Matrosov et
92 al. (2007) give Moscow the value 4943. For Helsinki, the average HDD is 3878,
93 but for the city of Vantaa locating only about twenty kilometers North of
94 downtown Helsinki the HDD is already 4097 (Finnish Meteorological Institute,
95 2014). These values place both Helsinki and Moscow to the cold climate zone.
96 Lechtenböhmer & Schüring (2011) provide a description and results of a detailed
97 bottom-up model of the current and future residential building stock in the EU.
98 Based on this model, different scenarios on the potentials for energy efficiency

99 coupled with the refurbishment of existing buildings are presented. The technical
100 potential available would allow to reduce the useful energy demand of existing
101 buildings to about two third of the current level by 2030. The estimate covers only
102 the energetic characteristics of the building shells. Other factors were not
103 incorporated.

104 Mastrucci et al. (2014) introduce a bottom-up statistical methodology based on a
105 Geographical Information System (GIS) to estimate the energy consumption of
106 residential stocks across an entire city. The adoption of a multiple linear
107 regression model allows the downscaling of measured natural gas and electricity
108 consumption from the aggregated post-code level to single dwellings, based on
109 several descriptors, such as dwelling type, period of construction, floor surface
110 and number of occupants. The energy consumption is apportioned to different
111 end-uses and corrected for weather, and then the energy savings potential is
112 estimated by accounting for the implementation of typical refurbishment
113 measures. The study provides results to prioritize the implementation of energy
114 retrofit measures for the residential stock of Rotterdam city, consisting of about
115 300,000 dwellings.

116 Corgnati et al. (2013) introduce a concept for reference buildings and a state of art
117 at an international level. In particular, a general methodology for the creation of
118 reference buildings is illustrated. Three methodologies to define a reference
119 building models (example, real and theoretical) are also defined. The
120 recommended methodology describes what data are required and how to collect
121 and gather them into different categories.

122 Ballarini et al. (2014) present a methodology for the identification of reference
123 buildings, according to the TABULA project (2009–12). The term “building
124 typology” refers to a systematic description of the criteria for the definition of
125 typical buildings as well as to the set of building types itself. The building
126 typology is classified according to three specific parameters: location (related to
127 the climatic area), construction period (related to the constructive principles and
128 materials), and building size and shape. The building typologies were used to
129 evaluate the potentialities of energy savings and CO₂ emission reductions
130 achievable through retrofit actions addressed to the building envelope and the
131 space heating and domestic hot water systems from present state to a renovated
132 state for some European countries. Ballarini et al. (2014) present results for the
133 Italian approach, Dascalaki et al. (2013) for the Greek approach, and Kragh &
134 Wittchen (2014) for the Danish approach.

135 Dall’O’ et al. (2012) detected the characteristics of the Milanese building stock,
136 and based on that were able to analyze which energy retrofit interventions are
137 feasible there from a technical, legal and economic point of view. Wittchen et al.
138 (2012) describe the building stocks in Denmark, Norway, Finland and Sweden as
139 part of a joint Nordic project MECOREN (Methods and Concepts for sustainable
140 Renovation). In addition, the energy saving potentials within the building stocks
141 are evaluated.

142 As one of the very few Russian related studies Nizovtsev et al. (2014) describe a
143 new thermal-insulating facade system for newly constructed and renovated
144 buildings, based on heat-insulating panels with ventilated channels. The
145 calculated data on thermal resistances of heat-insulating panels and on the reduced

146 thermal resistances of brick walls with an external facade system are reported as a
147 function of panel thickness. The calculations also prove that the examined
148 configuration of ventilated channels is capable of providing low moisture content
149 and good heat-insulating properties of the walls. The thermal insulating facade
150 systems based on the ventilated channels panels were installed on more than ten
151 new and renovated buildings in Novosibirsk and Novosibirsk Region, in Russia.
152 The experience gained in installation of the new facade system on renovated
153 buildings proved the possibility of performing efficient, good-quality installation
154 works. Thermal imaging confirmed high efficiency of the panels with ventilated
155 channels used for heat insulation of reconstructed buildings.

156 SUSREF (Sustainable refurbishment of exterior walls and building facades)
157 project developed sustainable concepts and technologies for the refurbishment of
158 building facades and external walls. Häkkinen (2012) presents the methodological
159 issues used in the project, while Vares et al. (2012) present generic refurbishment
160 concepts for building facades and external walls. Peuhkuri et al. (2012) introduce
161 specific refurbishment concepts, some of them also targeted to Eastern European
162 and Russian markets. In addition, based on the work done in Susref, Hradil et al.
163 (2014) analyze the durability of refurbished outdoor walls on the bases of
164 building-physical analyses and estimate the benefits of refurbishment on concrete
165 façade in Nordic conditions when an exterior insulation layer is added. The major
166 part of building envelope failures was caused by excessive moisture content of
167 building materials.

168 Hachem et al. (2014) provide an analysis of energy consumption and energy
169 generation of multistory residential buildings and investigate various methods to

170 increase energy generation potential to match consumption in the cold climate of
171 Montreal, Canada. Results indicate the potential benefits in investing in
172 exploitation of façades for electricity generation. Energy generation by façades
173 can be further enhanced through geometric manipulations of the façades to
174 increase their effective surface areas and optimize their tilt and orientation angles.

175 Building integrated photovoltaics are among the best methods for generating
176 power using solar energy. To promote and respond to the concept of BIPVs,
177 Young et al. (2014) present a type of multi-functional heat insulation solar glass
178 (HISG) that differs from traditional transparent PV modules, providing functions
179 such as heat insulation and self-cleaning in addition to power generation. The
180 study verifies and compares the energy efficiencies of HISG and ordinary glass in
181 a subtropical zone but the ideas could be extended to other climate zones as well.

182 Lindstedt et al. (2011) outline three renovation concepts for procurement methods
183 which can be utilized in various types of renovation projects. The concepts are
184 meant for renovation of one building component, of an entire building, and of
185 residential areas.

186 Heikkinen et al. (2009) describe a façade renovation method (TES method) and
187 define basic principles for the energetic modernization of the building envelope
188 using prefabricated large-sized timber frame elements. The basis for the use of
189 prefabricated retrofit building elements is a frictionless digital workflow from
190 survey, planning, off site production and mounting on site based on a precise
191 initial 3D measurement. The properties of the application of TES EnergyFacade
192 are: precision and quality of an ecological building system, predictable pricing
193 and reduction of work on-site, reduction of noise and disruption of the inhabitants,

194 application of a great variety of cladding materials, integration of load bearing
195 elements, integration of HVAC and solar-active components, and spatial
196 intervention or expansion (modules) in the same system.

197 Cronhjort (2011) presents a pilot project where the prefabricated TES
198 EnergyFacades were utilized for the first time in Finland. In this Innova project,
199 the prefabricated elements included extra insulations, claddings, windows and
200 ventilation ducts. The TES approach requires a detailed and precise
201 documentation of the as-built/as-maintained conditions of the existing façade.

202 Larsen et al. (2011) discuss the surveying and documentation of a building's
203 existing state and the need to establish a continuous digital chain that
204 encompasses the various project stages from the survey to the site assembly of the
205 elements.

206 ETICS (External Thermal Insulation Composite System) are a set of construction
207 elements consisting of certain prefabricated components being applied directly to
208 the façade (EAE, 2014). They are utilized widely in facade renovations in many
209 countries (e.g., Künzle et al., 2006; Amaro et al., 2014). The ETICS are installed
210 tightly against the external building façade. They are not ventilated which may
211 prevent drying incase moisture enters the structure. In case of damage, all parts
212 need to be fixed. The system is not modular, it cannot be modified or parts of it
213 changed. Basically, it includes only an extra insulation layer, thus improving the
214 façade U-value, but without any other issues improving the building energy
215 performance.

216 The literature review shows that it is relevant to analyze energetic features of an
217 energy efficient renovation system in Finland and Russia since the major parts of

218 the both countries are located in cold climates. In addition, it shows that building
219 stock properties and building typologies, such as the approach in this paper, are
220 commonly utilized in such analyses. Further, different façade solutions are being
221 developed for renovation, especially due to their considerable energy saving
222 potential. New façade solutions may also contain various additional technologies
223 for building services. It is also addressed that prefabrication is considered as one
224 important opportunity to speed up renovations.

225 3. THE MULTIFUNCTIONAL ENERGY EFFICIENT 226 FAÇADE SYSTEM FOR BUILDING RETROFITTING 227 (THE MEEFS SYSTEM)

228 Figure 1 shows the core idea of the Meefs system, i.e., it is an energy efficient
229 non-intrusive façade concept based on multi-module technology components that
230 will allow integrating both active and passive technologies in the façade. The
231 retrofitting facade will be based on a combination of advanced active solutions
232 with efficient passive design and materials as well as efficient energy management
233 system. Every module will represent different energy efficient innovative
234 solutions, and packaged into easy to install panels (Capeluto & Ochoa, 2013).

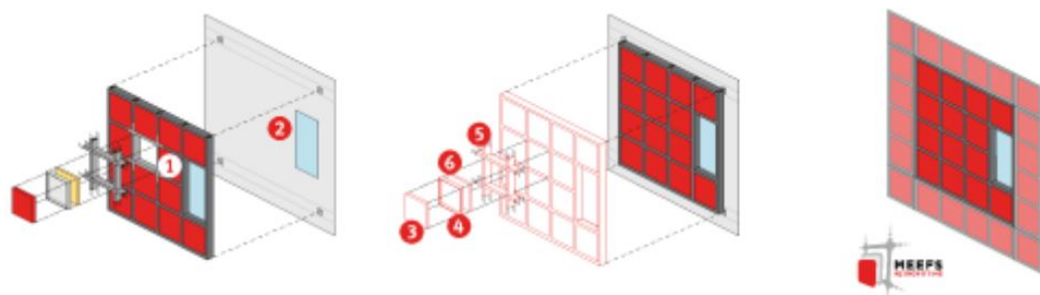
235 The system will highly rely on industrialized production always using
236 standardized panels, easy to be assembled and technological modules, still
237 allowing personalized configurations for each façade typology, orientation and
238 local climate conditions.

239 The façade system will be composed by the structure and an anchorage system for
240 fixing the structural panels to the existing facade; technological modules and an
241 intelligent Control System. The project work includes the development of the

242 MEEFS Architectural & Structural System Design taking into account technical
243 and aesthetical criteria. This will be based on building information model (BIM)
244 design tools.

245 The following describes these main building blocks of the Meefs system and
246 comments on their overall application for cold climates. The following sections of
247 this publication will focus on the energetic assessment of these issues for the cold
248 climates.

249



250

251 **Figure 1. MeeFS Façade System Constructive Process. 1) Multifunctional panel, 2)**
252 **Existing façade 3) Technological unit 4) Structural module 5) Structural panel 6)**
253 **Thermal insulation 2+4) Technological module 3+4+5) Multifunctional panel**

254

255 The façade system will be composed by:

256 **The structure** (Figure 1, 4+5): Meefs system structure will be based on
257 lightweight and cost-effective structural panels, and an anchorage system for
258 fixing these structural panels to the existing façade. The structural panels (or the
259 “frames”) are made of composite materials FRP - Fibre Reinforced Polymer. The
260 structural application of FRP in cold climates is feasible. However testing should
261 be done for deterioration and durability as the freezing and thawing dynamics

262 might affect the structure. This is even more important when considering that the
263 FRP structure hosts all the installations.

264 **Operating Control System:** the façade integrates an intelligent control system for
265 energy management and control of all the mobile elements. This will be integrated
266 in the building energy system. The connections and installations (electrical and
267 hydraulic installations) will be integrated in the structural frame. For the cold
268 climates further system development will be needed to ensure a proper insulation
269 of controls and equipment's and a new frame design should be considered.

270 **Technological modules** consisting of structural module and technological units
271 (Figure 1, 3+4+5). All the modules integrated in the façade will include a
272 particular technology or flexible combinations of technologies allowing the
273 reduction of the primary energy either reducing energy demand of the building or
274 for supplying energy by means of renewable energy sources (RES). All opaque
275 technological modules will incorporate thermal insulation. Most of the modules
276 will include existing technologies already proven to be cost effective and energy
277 efficient. Two innovative modules will be developed within the MEEFS
278 development process: advanced Passive Solar Protector and Energy Absorption
279 Unit; and Advanced Passive Solar Collector and Ventilation Unit¹.

280 **Back layer insulation:** The continuous back insulation layer is formed by rigid
281 insulation panels fixed mechanically to the back part of the composite structural

[1] ¹ Technological units developed and patented by Tecnalia and Acciona.
<http://www.meefs-retrofitting.eu/project/products-under-development/technological-units.html>

282 profiles of the multifunctional panels. The boards have the same dimensions as
283 the multifunctional panel to create this continuous insulation layer. As it is fixed
284 to the multifunctional panel (not to the existing building) the complete façade
285 system is fastened to the building in one single step. It allows restricting the
286 effect of the thermal bridges of the structural frame and modules and also takes
287 into consideration the cases where the MEEFS façade consists mainly of
288 technological units with limited insulation properties. For the cold climates
289 special consideration should be given to this layer due to its needed thickness. In
290 addition, in cold climates, as the water vapor moves from inside to outside the
291 building special considerations should be given to this layer, and to the structural
292 elements to avoid accumulation of moisture and consequent mold problems.

293 The technological units, in the Meefs system, will cover the traditional building
294 envelope main functions and also add new functionalities as energy production
295 and its flows management. Table 1 lists the state-of-art different technologies and
296 solutions that can be integrated into the technological unit of the Meefs building
297 façade system and comments on specificities for cold climate. It will be decided
298 case by case which actually technologies will be integrated to the system. Section
299 5 will describe and analyze, from the energetic perspective, the new breakthrough
300 units being developed with the system.

301 **Table 1. State-of-the-art technologies in the Meefs system and their applications in cold**
 302 **climates.**

Main technologies groups	Cold climates applications
Building integrated Photovoltaic systems (BIPV) and Solar thermal collectors.	The potential for solar systems is smaller than in warmer climates. However, can still produce part of the energy need especially in low-energy buildings.
Insulation	Most used is mineral wool in both countries which market share is 45% in Russia (Matrosov et al., 2007). For the cold climates the thickness/weight should be considered as modules might become too heavy. The application of e.g. vacuum insulated panels (VIPs) raise cost-effectiveness questions. The across Europe energy strategy developed in Meefs project proposes to use polyisocyanurate foam both for the back layer insulation (140mm) and for the technological unit (60 mm).
Glazing units and Windows	The most used glazing in the Nordic market are double and triple glazings depending on the year of construction. In Meefs system the glazing technological units cover the existing windows which makes it feasible to use single and double glazing.
The green façade	Would improve air quality and increase well-being. However, applicable only during the summer periods and would require extensive design.
Solar protectors/solar shades	Reduce cooling loads during the summer time. Since mechanical cooling is rare in cold climates would improve indoor conditions during the warmest periods.
Phase-change material (PCM)	Needs careful design, has not worked in some trial tests.
Ventilation units including Air filtration and cleaning	Natural ventilation dominates in Russian apartment buildings while mechanical exhaust is the most common solution in Finnish apartment buildings. In Riihimäki TES case a ventilation unit was integrated into the panels for each apartment (Cronhjort, 2011)
Heating technologies	Both in Finland and in Russia most apartment buildings are connected to district heating though system structures differ considerably.
Cooling technologies	Mechanical cooling is not common in cold climate apartment buildings. Often solutions reducing solar gains improve indoor conditions enough.

303 4. BUILDING TYPOLOGIES APPLIED

304 It is of vital importance to analyze which kinds of buildings and façade
 305 configurations exist in the targeted market areas. These form the bases on which
 306 the product development leans. In the following, we describe the properties of
 307 typical apartment buildings in Finland and Russia based on literature.

308 4.1. The Finnish apartment buildings

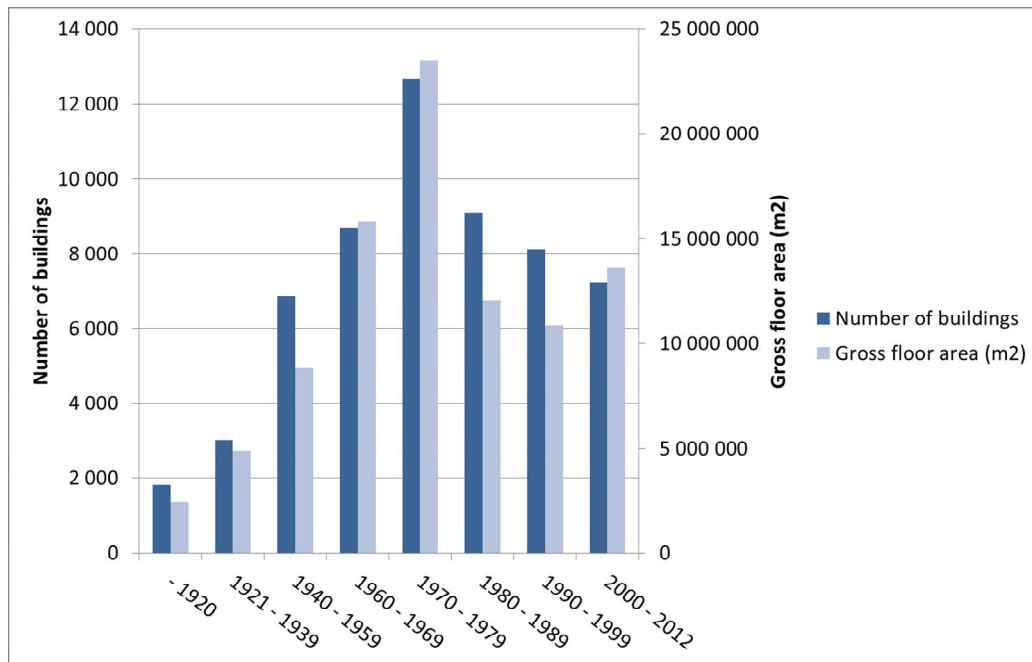
309 At the end of 2012, 44 per cent of all dwellings in Finland were in blocks of flats
 310 (Statistics Finland, 2013). In Figure 2, there is shown the Finnish multi-family

311 apartment building stock at the end of 2012 divided based on the construction
312 year (Statistics Finland, 2014). In total, there are almost 58.000 apartment
313 buildings in Finland with the total gross floor area about 92.5 million m². The
314 majority of these buildings were built in the 1960s, 1970s and 1980s.

315 Typically, the Finnish apartment buildings are not very tall. 70% of the apartment
316 buildings have 3-9 storeys, and 29% have 1-2 storeys (Statistics Finland, 2014).
317 Recessed balconies are common in Finnish apartment buildings built during 1960-
318 1975, excluding the smallest apartments (Neuvonen, 2009). Often, the balconies
319 are glazed afterwards. In the suburbs, the apartment buildings are not connected to
320 each other.

321 In 2012, the average floor area of a dwelling in a block of flats was 56.5 m² and
322 on average 2.06 persons lived in a dwelling. Most of the dwellings are owner-
323 occupied. Buildings with 3-storeys, or less, typically do not have lifts. 14% of the
324 apartment buildings with more than three storeys did not have lifts in 2012. The
325 level of amenities is good. Practically every dwelling has sewer, piped water,
326 flush toilet, warm water, bathing facilities and central heating. A Finnish
327 speciality is that over 50% of the dwellings include saunas. (Statistics Finland,
328 2013)

329 About 44.500 (77%) of the apartment buildings are connected to district heating
330 (Statistics Finland, 2014). Natural ventilation is dominating in apartment
331 buildings built before 1970s, since then mechanical exhaust ventilation became
332 most common (Litiu, 2012).



333

334 **Figure 2. The Finnish apartment building stock as of 31.12.2012 (Statistics Finland,**
 335 **2014).**

336 In Finland based on the IMPRO-Building project (Nemry et al., 2008), nearly
 337 50% of apartment buildings have concrete based walls. Brick based walls
 338 represents 33% of the constructions. The remaining constructions are wooden
 339 construction (17.6%). These wooden ones are mostly single-family houses.
 340 However, the last years have seen a growth on the multisorey wooden buildings.
 341 Several concrete wall configurations are described (Nemry et al., 2008; Häkkinen
 342 et al., 2012) concrete sandwich panels with low thickness insulation, breeze
 343 concrete walls, and reinforced concrete & breeze concrete walls. Typical U-values
 344 are presented in Table 2.

345 **Table 2. Typical U-values for non-renovated apartment buildings in Finland and cold**
 346 **climate zones in general.**

U-values in W/m ² K	For a Finnish apartment building from the 1970s (Nieminen, 2012)	For Finnish apartment buildings built in the 1970s (Häkkinen et al., 2012)	For buildings in cold climate zones built before 1975 (Lechtenböhrer & Schüring, 2011)	Maximum for buildings in cold climate zones built after 1975 (Lechtenböhrer & Schüring, 2011)
façade	0.3-0.40	0.475	0.50	0.25
roof	0.3-0.40	0.335	0.50	0.18
floor	N.A.	0.48	0.50	0.19
windows	2.10 - 2.40	2.44	3.00	1.60

N.A. = not available

347 4.2. The Russian apartment buildings

348 The housing stock of the Russian Federation amounted to 19,650 thousand
 349 buildings of the total floor space 3,177 mln. m² as of 2009 year end (IUE, 2011).

350 The housing stock included 3,224 thousand apartment buildings of the total floor
 351 space 2,237 mln. m². Most part of Russia's housing stock, over 84%, is privately
 352 owned.

353 In the Russian Federation, most of the apartment buildings were constructed
 354 between 1960 and 1985 during the Soviet-era, and as a result the urban housing
 355 stock today consists mainly of a few standard building types (United Nations,
 356 2004; Trumbull, 2013). Each building series represents a specific building design
 357 (Opitz et al., 1997; Raslanas et al., 2011). From an architectural perspective,
 358 residential areas with typical apartment buildings look monotonous, lack vitality,
 359 and are less aesthetically pleasing (Raslanas et al., 2011).

360 The housing stock in Russia has a rather high level of amenities. An average of
 361 61.4% of housing is provided with all the amenities. In 2009, 89% of urban
 362 housing stock had access to water supply, 87% to sewerage, 92% to heat supply,
 363 and 80% to hot water. (IUE, 2011)

364 In these buildings, natural ventilation dominates. Almost no buildings have
365 mechanical ventilation (Bobrovitskiy & Shilkin, 2010; Keikkala et al., 2007).
366 District heating accounts for 70% of total heat supply in Russia (Masokin, 2007).
367 It should be noted the Russian district heating systems differ technically from the
368 ones in Finland. In Russia, the apartment buildings typically do not include
369 building-specific heat exchangers or any other means to control the heating
370 (Eliseev, 2011). So, just renovating building facades do not reduce the heating
371 consumption of a building.

372 Major shares of the apartment buildings belong to the following two categories
373 (United Nations, 2004):

374 • In buildings constructed between 1961 and 1975, the number of storeys
375 varies but 9-storey buildings are the most common. The buildings are long
376 and there are usually five to nine staircases in each. The external walls are
377 different lightweight concrete structures without separate thermal
378 insulation material. The housing norms of 1963 regulated their design and
379 construction. Some of the buildings were based on their successful 5-
380 storey predecessors, e.g. building 1-515.

381 • In suburb buildings built mainly after 1975, large elements and
382 prefabricated modules were used. These buildings are 9-storey or higher,
383 tower type blocks of flats or long, narrow buildings with four to seven
384 staircases. The external walls are 35 cm thick expanded-clay lightweight
385 concrete.

386 The composition of large panels varied tremendously, as well as their actual
387 thermal properties. For example, the widely used 3-layer panel, including
388 concrete, thermal insulation (either mineral wool or foam plastic), and concrete,
389 had three typical internal configurations with shells, metal ties and ribs (Opitz et
390 al., 1997). The typical U-values in Moscow buildings (see the “current status” in
391 Table 5) are approximately 1.1 W/m²K for wall constructions and 2.9 W/m²K for
392 fenestration (converted from transmission R-values by Matrosov et al., 1997).
393 Opitz et al. (1997) point out that the design R-values differ minimally among
394 older buildings built between 1954 and 1979, and they are essentially the same
395 among buildings even with different wall structures (except for recently
396 constructed buildings with 3-layers panel walls).

397 5. ENERGETIC ASSESSMENT OF THE MEEFS 398 SYSTEM

399 For Helsinki, the energy load calculations were made during the initial stages of
400 the Meefs project to allow the development planning. In this paper additional
401 energy consumption analysis were made for easier comparison between Helsinki
402 and Moscow, and for conclusions. For Moscow, the authors utilized the energy
403 consumption results by Paiho et al. (2013) with complementary calculations. The
404 energy consumptions of the buildings were calculated with WinEtana, which is a
405 building energy analysis tool developed by VTT Technical Research Centre of
406 Finland.

407 5.1. Energy analysis for a Finnish apartment building

408 A 2-phase approach was used for the energetic analyses of a Finnish apartment
409 building located in Helsinki. Firstly, an energy load analysis was made to an

410 apartment unit within an apartment building. Secondly, indicative heating energy
 411 consumptions were calculated for a typical apartment building.

412 **5.1.1. Energy load analysis for an apartment unit in Helsinki**

413 For Helsinki, a typical residential apartment unit was defined with dimensions
 414 13.5 (width) x 7.0 (depth) x 2.70 (height) meters, with only one external façade
 415 (Capeluto & Ochoa, 2013). The four main orientations (North, South, East and
 416 West) were evaluated. The EnergyPlus software was used for modelling the
 417 basecase and the different façade solutions analyzed. The main features of the
 418 initially analyzed cases are described in Table 3. These initial cases were common
 419 within the European Meefs project though in the insulation and glazing cases the
 420 exact values varied from Southern to Northern climates.

421 **Table 3. The different cases initially analyzed within the European context.**

Abbreviation	Description
B = reference case	The basecase
V = ventilation	The hourly ventilation rate was increased from 1.5 h ⁻¹ to 4 h ⁻¹ . In addition, heat recovery was included.
S = shading	The initial basecase has unprotected windows, and for this technology an ideal “smart” shade covering the entire window area is used. It changes its transmittance to 20% during summer, and to 80% during winter.
I = insulation	Improving insulation of the façade from 0.7 W/m ² K to 0.17 W/m ² K.
G = glazing	The U-value for windows was reduced from 3.5 W/m ² K to 1.6 W/m ² K.
C = color	Changing the façade to a lighter hue from solar absorbance of 0.65 to 0.25.
A = all	Combination of all the measures assuming the technologies can be applied without interference from each other one the façade system.

422 The cooling loads were minimal or not existing in all the cases. In addition,
 423 cooling does not exist in typical Finnish apartment buildings. For the basecase, the
 424 annual heating loads per floor area varied between about 150-170 kWh/m²,a. For
 425 the case A including all the analyzed features of the Meefs façade system, the
 426 annual heating loads per floor area varied between about 100-120 kWh/m²,a
 427 depending on the orientation of the façade.

428 A combined use of measures achieved the lowest energy load (Capeluto & Ochoa,
429 2013). The most influential separate measures were using ventilation with heat
430 recovery (around 20% reduction from initial basecase), improving glazing (10%-
431 12% reduction from initial basecase) and improving insulation (10%-5%
432 reduction from initial basecase). Ventilation with heat recovery has a large effect
433 in total consumption due to savings in the pre-heating of outside air.

434 The U-values used for the basecase were higher than the typical ones for Finnish
435 apartment buildings from the 1970s (see Table 2) so the results overestimate the
436 energy load reduction potentials in the most typical apartment buildings but rather
437 indicate maximum potentials in older buildings. But these estimations were only
438 used as the first estimations of the energetic potentials of the Meefs system.

439 **5.1.2. Heating consumptions of a Finnish apartment building**

440 Since, heating loads and heating consumptions cannot be directly compared
441 reference energy consumptions were calculated using the WinEtana program for a
442 typical Finnish apartment building located in Helsinki and built in 1975. The
443 WinEtana program contains a database of the most typical building systems and
444 properties, including U-values, based on the building type and the year of
445 construction. In addition, the program automatically suggests typical initial values
446 for occupational and other internal loads, ventilation rates etc. Already with these
447 initial values, often good estimates for annual heating and electricity
448 consumptions can be obtained.

449 Building energy consumptions were calculated for three different cases varying
450 the U-values of external walls and windows (Table 4). The “WinEtana reference”

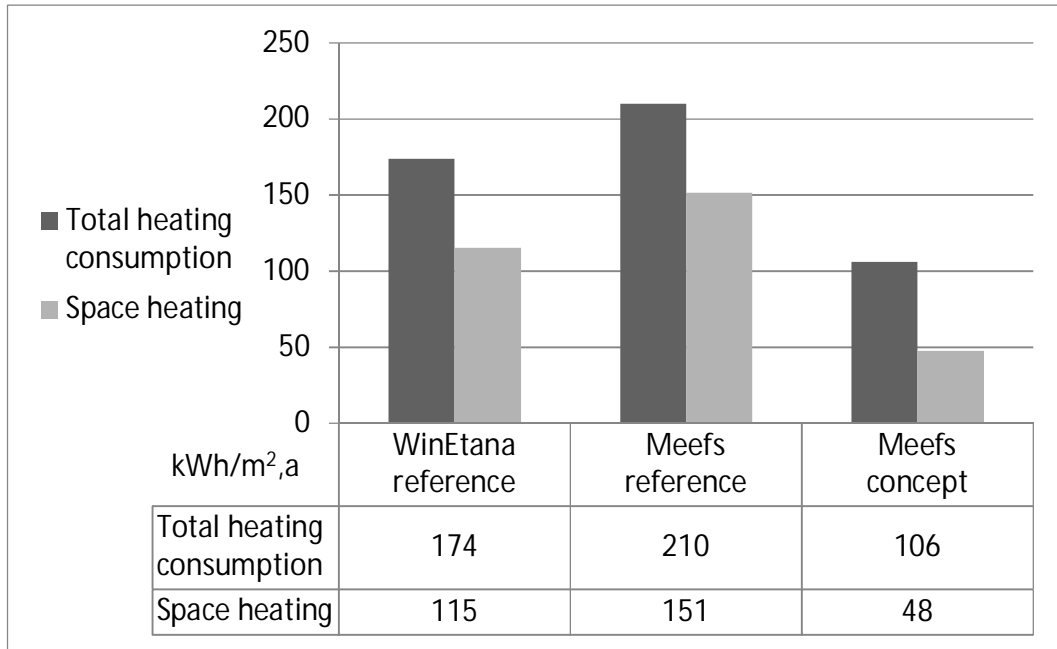
451 refers to the case using U-values suggested by WinEtana and the “Meefs
 452 reference” to the case using U-values from the Meefs basecase. In the “Meefs
 453 concept”, the same U-values were used as in the energy load calculations
 454 (presented in the previous section) where the combination of all measures was
 455 included. For all the other input data needed by WinEtana, the suggested initial
 456 values were used except for the “Meefs concept” case where ventilation heat
 457 recovery was included with the efficiency of 60%. Since the Meefs system does
 458 not propose improvements to building domestic hot water or electrical systems at
 459 this stage such improvements were not incorporated in the calculations.

460 **Table 4. The U-values (W/m²K) used for different cases.**

U-value (W/m ² K)	WinEtana reference	Meefs reference	Meefs concept
external wall	0.475	0.7	0.17
window	2.2	3.5	1.6

461 The results (Figure 3) indicate that the initial data for the Meefs basecase do not
 462 represent typical ones for the Finnish apartment buildings from the 1970s but
 463 some older apartment building types. In the “WinEtana reference” case, the total
 464 heating consumption is 17% and the space heating consumption 24% smaller than
 465 in the “Meefs reference” case. However, from a business oriented point of view
 466 the energy saving potential is one of the core issues of a product, and the “Meefs
 467 concept” reduces the total heating consumption by 39% and the space heating
 468 consumption by 57% compared to the “WinEtana reference”, respectively.

469



470

471 **Figure 3. The total heating consumptions (including water heating and losses) and the**
 472 **space heating consumptions for the different cases in the building level.**

473 5.2. Energy analysis for an apartment building in Moscow

474 The energy saving potentials of holistic building renovation concepts rather than
 475 specific products were calculated for a typical Moscow apartment building. Only
 476 the main results are shown here since Paiho et al. (2013) performed a detailed
 477 analysis of the calculations. Since the Meefs system can also include
 478 combinations of technological solutions, it could – at least to a certain extent – be
 479 considered as a holistic concept.

480 Three holistic building energy renovation concepts were analysed and compared
 481 to the current status in a typical Russian apartment building built in 1965 (Table
 482 5). The basic renovation refers to minimum mandatory repairs as well as easy-to-
 483 do retrofit measures, making use of inexpensive products, available on the market,
 484 with modest energy properties. The improved renovation improves the thermal
 485 insulation of buildings to a level comparable with or higher than current Moscow
 486 requirements for new buildings and introduces exhaust mechanical ventilation,

487 which ensures sufficient air exchange rate in apartments. The advanced renovation
488 suggests use of even more progressive solutions, which were considered realistic.

489 In addition to the three holistic energy renovation concepts, one new concept was
490 introduced for the Meefs system. It was assumed to contain all the measures
491 included in the Advanced concept but the U-values for external walls was 0.17
492 W/m²K and for windows 1.6 W/m²K instead of the values used in the Advanced
493 concept. This case was named to “Meefs concept”.

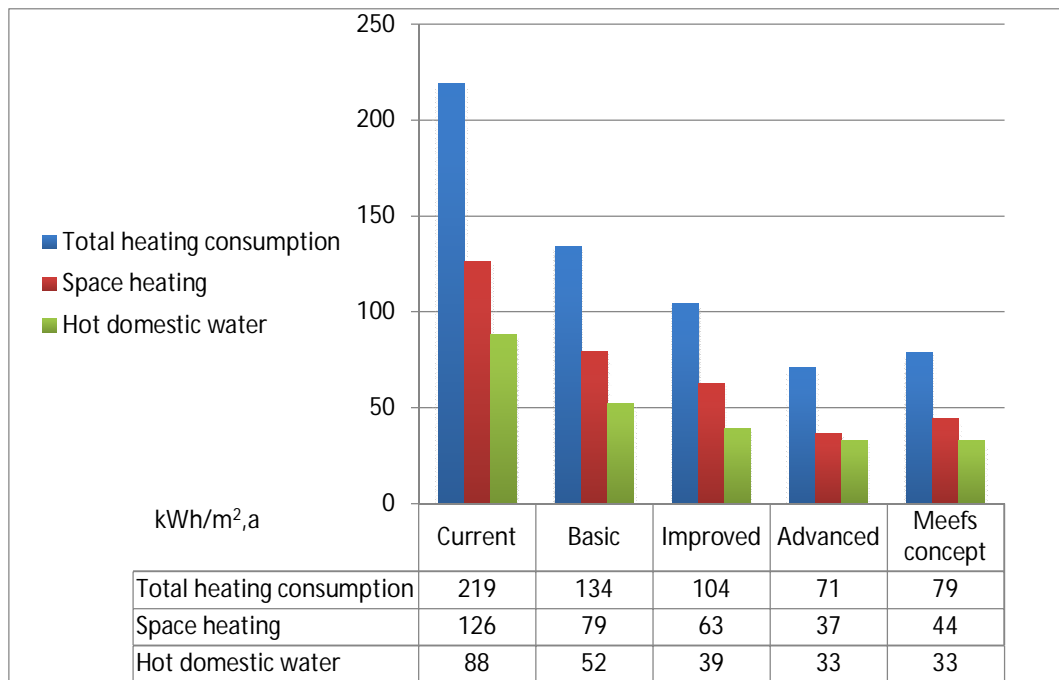
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Table 5. Holistic building renovation concepts. If not otherwise stated the improved and advanced concepts always include the solutions mentioned in the previous renovation.

Technology/ system	Current status	Basic renovation	Improved renovation	Advanced renovation
Structures: U- values (W/m ² K)				
• outer walls	1.1	0.5	0.32	0.15
• base floor	1.1	-	-	-
• roof	1.1	0.25	0.24	0.15
• windows and doors	2.9	1.85	1.5	1.0
Ventilation	Natural	Restoration of existing natural ventilation. Air inlet valves to ensure sufficient air exchange	Enhanced mechanical exhaust	Mechanical ventilation (supply and exhaust air) with annual heat recovery efficiency 60 %
Air tightness factor n50 (1/h)	6.5	4.0	2.0	< 2.0
Heating and hot water systems	Centralized control, no radiator temperature based control. Four-pipe system (centralized substations)	Replacement of radiators and pipes, pipe insulation, simple automated temperature regulators in buildings	Building heating substations and water heating (two- pipe system), thermostatic valves on radiators	
Electrical appliances and lighting		Energy efficient household appliances and lighting of public spaces	Energy efficient pumps and fans in new systems	Elevators – recovery breaking. Presence control of lighting in public spaces
Water supply systems (Consumption in l/day/occupant)	Old pipes and water appliances, building-level metering (272 / of which hot water 126)	Replacement of pipes, fixtures and appliances (160)	Installation of water saving fixtures and appliances. Remote meter reading (120)	Household- specific metering (100)

496 In Figure 4, there is shown the calculated energy consumptions of the typical
497 (building type II-18) Russian apartment building for the current state and for the
498 different renovation cases. Only the heating related consumptions are shown here
499 since the Meefs system does not contain components reducing electricity
500 consumption. The results show considerable energy saving potentials in heating.
501 With the advanced renovation concept the total heating consumption would
502 reduce 68% and with the Meefs concept 64% of the current value, respectively.

503 The space heating consumption would reduce 70% with the advanced concept and
 504 65% with the Meefs concept, respectively.



505

506 **Figure 4. The building-level energy consumptions of different renovation cases**
 507 **compared to the current situation.**

508 5.3. Aspects of other technical units of the Meefs system

509 In the Meefs system, different energy-efficient measures will be included to
 510 reduce the building energy consumption. In addition, generating energy from
 511 renewable sources by integrating these technologies into the retrofitted façade will
 512 be an additional option. No actual calculations related to adapting these technical
 513 units were done, in this paper, but in the following they are still briefly discussed.

514 5.3.1. Building Integrated Photovoltaic Technological Unit (BIVP TU)

515 BIVP TU produces electricity to be used either in the building or to be transferred
 516 to the grid. According to the photovoltaic potential estimation utility Photovoltaic
 517 Geographical Information System (PVGIS) (European Commission, 2012), the
 518 average yearly solar radiation on a horizontally inclined surface is 1120 kWh/m²

519 for an optimal surface in Helsinki with an inclination angle of 41° and south
520 orientation, and 1154 kWh/m² for an optimal surface in Moscow with an
521 inclination angle of 39° and south-orientation. Paiho et al (2014) estimate that in
522 Moscow for every kW-peak power installed a 1.060 kWh of electricity can be
523 produced in a year when placing the CIS (copper–indium–selenium) technology
524 based solar panels optimally. In the case analysis (Paiho et al., 2014), about 8% of
525 the total electricity demand of a pilot residential district could be generated by
526 building integrated solar panels (BIPV). Then, the panels would occupy half of
527 the roof area in the district. For the different BIPV technologies there are not
528 considerable differences in these electricity production values (European
529 Commission, 2012).

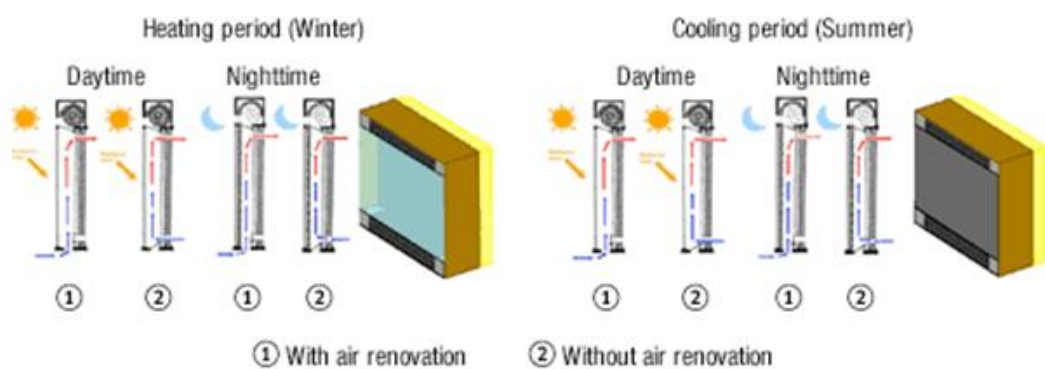
530 For electricity generation, BIPV on vertical south façades yields are diminished
531 by 30% (European Commission, 2012) compared to the optimal tilt, the annual
532 generated value being 670 kWh/a (European Commission, 2012) for the Peak
533 Power kW_p In Helsinki, while for east and west facades the performance ratio is
534 approximately 50% (European Commission, 2012) lower than in the optimal tilt.
535 This generation can be diminished by any element of building surrounding that
536 cast shadows on it.

537 Façade area is larger than the roof area in a building. Thus, more BIPV units
538 could be implemented to the building using the Meefs BIPV technical unit.
539 However, their orientation would not be optimal for maximized electricity
540 production. Still, some share of the electricity need could be provided by BIPV
541 TUs also in the Northern climates.

542 5.3.2. The two technical units based on new technological solutions

543 Most of the technical units in the Meefs concept are based on existing proven
544 technologies. In addition, two new technical units are being developed: Advanced
545 Passive Solar Collector & Ventilation Unit Technical Unit (APSC&VU TU) and
546 Advanced Solar Protection & Energy Absorption Technological Unit (ASP&EA
547 TU. Both of them will utilize Phase Change Materials (PCM) for thermal energy
548 absorption and accumulation. This is a substance with high heat of fusion which,
549 by melting and solidifying at a certain temperature, is capable of storing and
550 releasing large amounts of thermal energy.

551 The APSC&VU TU has been designed as a dual layer system, of which, the
552 external layer is semitransparent. A thermal storage wall, including PCM, is used
553 as an internal layer for thermal storage – collaborating to both heating and
554 cooling. Ventilation of the cavity is allowed by a series of lower and upper
555 opening gaps, equipped with adjustable rollers. These rollers are operated
556 according to external climate conditions (Figure 5).



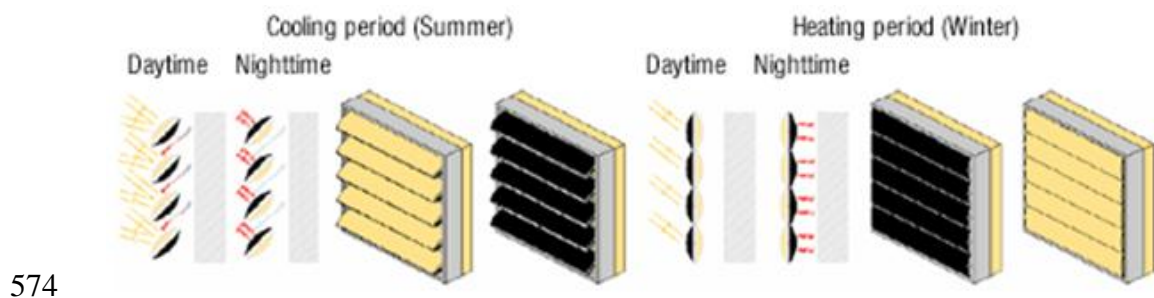
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558 **Figure 5. General operating strategies of the APSC&VU TUs (Source:**
559 [http://www.meefs-retrofitting.eu/project/products-under-development/technological-](http://www.meefs-retrofitting.eu/project/products-under-development/technological-units.html)
560 [units.html](http://www.meefs-retrofitting.eu/project/products-under-development/technological-units.html)).

561 The main goal of the Advanced Solar Protection & Energy Absorption

562 Technological Unit (ASP&EA TU) is to improve the annual energy performance

563 of the buildings to be retrofitted, balancing heating and cooling requirements.
564 Operating the ASP&EA TU will depend on the energy demands of the building
565 (Figure 6). During the cold season when heat can be absorbed the face with warm
566 selective coating and PCM will be on the exterior to store this heat and when the
567 temperatures fall, the slats will rotate 180° to transfer the heat to the internal air
568 gap and to protect the building with the thermal insulation face. During the hot
569 season the slats will be inclined with the cool selective coating on the outside, so
570 it will provide shade, reflect the solar radiation and cool the air entering in the
571 interior by absorbing its heat with the PCM to reduce the temperature of the
572 interior façade. During the night in the cooling period, the slats position will
573 depend on the need and possibility of night ventilation.



575 **Figure 6. General operating strategies of the ASP&EA TUs (Source: [http://www.meefs-](http://www.meefs-retrofitting.eu/project/products-under-development/technological-units.html)**
576 **[retrofitting.eu/project/products-under-development/technological-units.html](http://www.meefs-retrofitting.eu/project/products-under-development/technological-units.html)).**

577 Both the new technical units are in principle thermal storages based on phase
578 change materials. For such solutions, the vital issue is the intended purpose for
579 which it is planned. This effects selection of the phase change material which may
580 change phase for example at temperatures ranging between 20-24°C. Both the
581 units have quite complicated structures which set requirements for their
582 implementation, operation, control and maintenance especially in cold climates.
583 The units should be protected from rain and frost in order to avoid condensation
584 of water inside the units and thus possibly leading to moisture problems. In case

585 replacement air is taken through the units some air filtering solution should also
586 be implemented guaranteeing cleaning of the supply air.

587 For solar thermal heat, one collector square meter produces annually 200–400
588 kWh for different types of systems and locations in Finland (Motiva, 2012), and
589 450 kWh in Germany (Bosselaar, 2011). Results from PVGIS (European
590 Commission, 2012) shows that the potential in Moscow is closer to that of Berlin
591 than Helsinki. The productions of solar thermal collector panels follow the same
592 trend as BIVP discussed in the previous section. For the Moscow case district,
593 30% of the energy needed for heating domestic hot water could be produced by
594 solar collectors covering 25% of the total roof area (Paiho et al., 2014).

595 6. DISCUSSION AND CONCLUSIONS

596

597 This study focused on the building typological and energetic issues of the
598 multifunctional façade systems in two Northern locations, namely Helsinki and
599 Moscow. There are also other important aspects, such as mechanical resistance
600 and stability, safety in case of fire and in use, hygiene, health and local
601 requirements, as well as legal issues which were not considered. The analysis, for
602 the cold climates, of the structural design and applied system materials will be the
603 focus of another study.

604 Even though building renovations may include only some measures improving
605 energy-efficiency, the analyses of renovation packages are more practical if major
606 capital repairs are required anyway, such as in Russia. In Finland, major
607 renovations are often done in stages in owner-occupied apartment buildings but in

608 social housing complete renovations are more common. Therefore, for easier
609 comparison this last holistic approach was selected.

610 Directive 2010/31/EU (Official Journal of the European Union, 2010) states that
611 minimum requirements for the energy performance of buildings and building
612 elements should be set with a view to achieving the cost-optimal balance between
613 the investments involved and the energy costs saved throughout the lifecycle of
614 the building. For Finland, these energy requirements are under development. In
615 the national report on calculation of cost-optimal levels of minimum energy
616 performance requirements, based on expert evaluation State of Finland highlights
617 that the cost-optimal level in building renovation is a slightly more energy-
618 efficient than the requirements defined in the Finnish building code (Finland,
619 2012). However, many calculation data in the report can be argued, such as the
620 unrealistic lifespan (60 years) of all the building systems and the high discount
621 rate of 6% while the typical interest rate for the Finnish households has been
622 around or even less 2% for years (Bank of Finland, 2014). Paiho et al. (2015)
623 analysed investment costs of different holistic energy-efficient renovation
624 concepts of a Moscow residential district. At the building level, the investment
625 costs of different renovation packages varied between €125/m² and € 200/m²
626 depending on the extent of the selected renovation package. All packages covered
627 improvements to external walls, windows and doors, upper ceiling, basement,
628 ventilation, heating system, water and wastewater, electricity, gas, metering, and
629 other improvements and costs, but the selected products and solutions varied from
630 basic through improved to advanced ones. Repairing the external walls forms the
631 biggest share of the costs in all the renovation packages, being around 35-40% of
632 the total costs. Solutions reducing the investment costs of façade renovations,

633 such as the objective of the Meefs system, would have a considerable impact to
634 the total investment costs.

635 A general conclusion is that the Meefs solution, from the energetic point of view,
636 could be feasibly in the Nordic climates in general and in both studied countries in
637 particular. The majorities of the apartment building stocks in both countries have
638 been built after the II World War and need renovations. Building renovation
639 offers an important opportunity to upgrade buildings in order to meet the current
640 and future energy and eco-efficiency requirements as well as other criteria
641 supporting sustainable development. Prefabricated solutions, such as the Meefs
642 system, provide many benefits, such as accelerated repairs, reduced errors on the
643 construction site and less disturbances to residents. In addition, in the both
644 countries there exist a big amount of typical buildings with typical properties of
645 the period of construction. This makes industrialization of the system possible and
646 cost-effective. Adapting prefabricated building facades to such typical buildings
647 could be most beneficial to all involved stakeholders.

648 From an architectural perspective, (old) residential areas with typical apartment
649 buildings look monotonous, lack vitality, and are less aesthetically pleasing
650 (Raslanas et al., 2011). Through modernization the Meefs brings an aesthetics
651 added value to the building. At the same time the similar building dimensions
652 allow adds allow for standardize panels and measures and for cost-effective
653 industrial production. This will allow retrofitting higher number of apartments and
654 therefore the socio-economic impacts are higher.

655 In most Russian multi-family apartment buildings the wall elements themselves
656 bear the building's structural loads (Opitz et al., 1997). This must be taken into

657 account when designing major facade renovations. In addition, tearing down the
658 cladding, if needed, in Russian buildings can be more difficult than in Finnish
659 sandwich elements because the interior and exterior claddings are casted in the
660 edges of the elements. This may limit the utilization of some prefabricated façade
661 elements. However the Meefs system works as a second skin façade and the
662 original façade can be preserved.

663 The Meefs system can offer considerable energy savings in existing multi-family
664 apartment buildings in both locations. In Finland, the solution could be
665 implemented in individual buildings without additional mandatory improvements
666 to other energy systems. However, it should be noticed that in Russia building
667 energy renovations alone are seldom sufficient, since typically the district heating
668 supply cannot be controlled. So, there energy renovations need to be planned
669 more comprehensively taking also into account the whole energy infrastructure.

670 Integrating energy production from renewable energy sources to the Meefs panels
671 is possible. In cold climates less solar energy is available than in the Southern
672 countries. In addition, vertical surfaces are not optimal for solar radiation.
673 However, energy generation in vertical surfaces can be increased with geometric
674 designs (Hachem et al., 2014). But this aspect needs more research. Integrating
675 solar collectors either to APSC&VU TU or to ASP&EA TU could also be an issue
676 for future research.

677 In an assessment made for the St. Petersburg region, Kuznetsova et al. (2011)
678 point out that just a single product is unlikely to achieve strong representation, but
679 rather a complex package of technical and instrumental solutions with a clear
680 demonstration of achievable results will have much better market acceptance. So,

681 the Meefs system could be successfully adapted in the Russian market because it
682 can be considered as a package of solutions when containing several technical
683 features.

684 The Meefs system is still under development. Field testing is being done in Spain
685 in Southern European climate. Many vital issues are still not developed for the
686 Northern conditions. For example, the frame system itself causes thermal bridges
687 that may affect the thermal performance. In addition, balconies and building
688 ledges need to be solved case by case either respecting their existing state and
689 integrating them physically with the MeeFS facade (e.g. built around) or replacing
690 by the specific MeeFS equivalents. Also details of the anchorage system are not
691 fully designed yet.

692 Since the climate in Finland is rather similar to that in Moscow and in the cold
693 regions of Russia, many analyzed, tried and tested building and energy solutions
694 applied in Finland could also be utilized in Russia. This paper also supports this
695 development. Still field testing is required in both countries before actual
696 implementations. In addition, Finnish experiences of cold climate buildings could
697 be of use in updating Russian and East-European residential districts to become
698 more energy-efficient. In a technical sense, there is clearly a huge market for
699 companies to respond to the great renovation needs in Russia. However, there are
700 issues other than technical ones that need to be clearly analyzed before
701 successfully entering the market. Financial and business aspects could also be
702 subjects of future research.

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An energetic analysis of a multifunctional facade system for energy efficient retrofitting of residential buildings in cold climates of Finland and Russia

Highlights

- Study on MEEFS multifunctional energy efficient façade system for retrofitting.
- System is suitable for retrofitting apartment buildings in Finland and Russia.
- The studied building stock is advantageous for the Meefs industrialization.
- Field testing are needed in Nordic climates, before actual implementations.